The background of the page features a large, faint, circular seal of the State of North Carolina. The seal contains the text "THE GREAT SEAL OF THE STATE OF NORTH CAROLINA" around the perimeter and "1775" at the bottom. In the center, it depicts a figure holding a staff and a bundle of wheat, with a plow and a sheaf of wheat below. The title "North Carolina Annual Action Plan 2014" is overlaid on the seal. The words "North Carolina" and "Annual Action Plan" are in a bold, black, sans-serif font, while "2014" is in a much larger, bold, black, sans-serif font. A thin vertical gold line separates the text from the year.

North Carolina Annual Action Plan 2014

Presented to the U. S. Department of Housing and Urban Development

Developed By:

North Carolina Department of Commerce – Division of Community Assistance

North Carolina Housing Finance Agency

North Carolina Department of Health and Human Services, Division of Aging and Adult Services

North Carolina Department of Health and Human Services, AIDS Care Program

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EXECUTIVE SUMMARY

(Citation: 24CFR91.320(b))

For the 2014 Program Year, the state estimates it will receive federal resources from the U.S Department of Housing and Urban Development (HUD) equal to the funding amount for 2012, or approximately \$70 million. The four programs covered by this Action Plan are as follows (in alphabetical order): Community Development Block Grant (CDBG), Emergency Solutions Grant (ESG), HOME Investment Partnership (HOME) and Housing Opportunities for Persons with AIDS (HOPWA). Funds for these programs have been significantly reduced over the past several years, and as resources have decreased, needs have been prioritized and investments have been targeted to achieve the greatest impact on the goals and objectives identified in the state's Consolidated Plan.

State Objectives

The state realizes it cannot meet all of the housing need, but it can strategically invest its limited resources to alleviate important housing problems for North Carolina's households.

The state has three (3) basic goals:

1. To provide decent and affordable housing
2. To provide a suitable living environment
3. To expand economic opportunity

The primary means through which these goals are achieved is the provision of affordable housing and utility infrastructure improvements. Each agency operates programs that help to fulfill these goals, while meeting housing and service-related needs statewide.

This document, the 2014 Consolidated Annual Action Plan of the State of North Carolina, serves as the annual application to the U.S. Department of Housing and Urban Development (HUD) for funding for the following housing-related projects:

- \$43.7 million in Community Development Block Grant (CDBG) funds, to be administered by the Department of Commerce, Division of Community Assistance (DCA);
- \$13 million in HOME Investment Partnership Program (HOME) funds, and additional HOME match dollars to be administered by the North Carolina Housing Finance Agency;
- \$4.6 million in Emergency Solutions Grant (ESG) funds, to be administered by the Department of Health and Human Services, Division of Aging and Adult Services; and
- \$2.3 million in Housing Opportunities for Persons with AIDS (HOPWA) funds, to be administered by the Department of Health and Human Services, AIDS Care Program.

For information regarding specific programs, please visit the organization websites:

- Department of Commerce, Division of Community Assistance - www.nccommerce.com/cd
- North Carolina Housing Finance Agency - www.nchfa.com
- AIDS Care Program – <http://epi.publichealth.nc.gov/cd/hiv/program.html>
- Division of Aging and Adult Services - www.ncdhhs.gov/aging

Evaluation of Past Performance

The state of North Carolina evaluates its performance annually on meeting the goals of the strategic plan and the action plan. The North Carolina Consolidated Annual Performance & Evaluation Report (CAPER) is submitted to HUD on March 31 of each year, 90 days after the close of the Consolidated Plan program year. The most recent past performance and evaluation reports can be downloaded from the DCA website at <http://www.nccommerce.com/cd/investment-assistance/consolidated-plan>.

Expected Outcomes

Of the total funding for housing activities to be undertaken in 2014, 39.3% of the funding is targeted to the high priority needs, 38.7% is targeted to the medium priority needs, and 22% is targeted to the low priority needs. The anticipated distribution of funding by agency and by priority need category is shown in the chart under the section *One-Year Specific Housing Goals and Objectives* on page 17.

PARTNERS

The agencies responsible for managing these grant funds are as follows:

Grant Program	Responsible Agency
Community Development Block Grant (CDBG)	NC Department of Commerce Division of Community Assistance*
HOME Investment Partnership Program (HOME)	NC Housing Finance Agency
Emergency Solutions Grants (ESG)	North Carolina Department of Health and Human Services, Division of Aging and Adult Services
Housing Opportunities for Persons with AIDS (HOPWA)	NC Department of Health and Human Services, AIDS Care Program

* Lead agency responsible for submitting action plan.

SUMMARY OF CITIZEN PARTICIPATION AND CONSULTATION PROCESS

(Citation: 24CFR91.200 and 91.220(b))

Citizen Participation

The state of North Carolina has developed a North Carolina Consolidated Plan Citizen Participation Plan. This plan is a guidance document to ensure and encourage public participation throughout the Consolidated Planning Process. It sets forth the state's policies and procedures for citizen participation. The plan can be downloaded from the DCA website at www.nccommerce.com.

The state of North Carolina encourages citizens to participate in the planning, implementation, and assessment of the Consolidated Plan for HUD Community Planning and Development programs. This plan describes how this participation is encouraged.

Citizens may make comments or request information regarding the Consolidated Plan by telephone, mail, or facsimile transmission to the Consolidated Planning Coordinator, Division of Community Assistance, 4313 Mail Service Center, Raleigh, North Carolina 27699-4313; Tel. (919) 571-4900; TDD 1-800-735-2962; Fax (919) 571-4951. The state will respond in the Consolidated Plan or performance report, as appropriate, to written comments received.

During the development of the plan, the development of any substantial amendments, and prior to submission of the annual performance report to HUD, the state will solicit the views and proposals of citizens at a public hearing.

Notices for public hearing shall include:

- 1) The subject of the meeting;
- 2) Amount of funds anticipated to be available and range of eligible activities;
- 3) Estimated benefit to low and moderate income persons;
- 4) Information needed by citizens to make informed comments, or in the case where extensive information will be the subject of comment, where the information is available for inspection;
- 5) Time and location of the meeting;
- 6) The address and telephone number where special populations (persons with disabilities or non-English speaking persons) may request auxiliary aids or assistance;
- 7) The address where written comments may be submitted, and the time frame of the comment period for the subject of the meeting; and
- 8) The telephone number where persons may request additional information.

Notices: Adequate advance notice of meetings will be given. Notices will be published in newspapers of general circulation no less than ten days and no more than twenty-five days prior to the beginning of any series of hearings or meetings. Notices will also be mailed to local governments, advocacy groups, nonprofit housing organizations, housing-related service providers, for-profit developers, professional organizations, other known constituency groups, and citizens who have requested notification of any meetings.

Format: Meetings will be designed to allow the most opportunity and encouragement for citizens to express their views. At least one meeting will follow the format of a formal public hearing, while the state is identifying its housing and community development needs prior to writing the consolidated plan.

Accessibility: Meetings will be held at times and locations convenient to potential and actual beneficiaries. All meeting places will be handicapped accessible. Auxiliary aids, such as a sign-language interpreter, will be provided upon request. An interpreter will be provided at meetings where a significant number of non-English speaking persons can be reasonably expected to attend.

Action Plan: The state will develop an action plan annually for the use of its funds, and submit this plan with certifications. The state will receive comments from citizens and units of general local government on the proposed action plan for a period of not less than 30 days from the date when the draft is available to the public. The comment period will be publicized in the notices published in the newspaper and mailed to interested parties. Any comments received in writing or orally at the public hearings will be considered in preparing the final submission. The final action plan will include a summary of these comments or views and the reasons for not accepting any comments received. Public comment for this plan began on October 7, 2013 and ended on November 7, 2013.

Consultation Process

The state of North Carolina has developed a North Carolina Consolidated Plan Consultation Plan. This plan is a guidance document describing our state process for consulting with other public and private agencies that provide assisted housing, health services, and social and fair housing services. Input from these consultations is incorporated into our consolidated planning process.

Interagency Coordination and Collaboration

Division of Community Assistance

- DCA will continue to work with other governmental and non-governmental groups such as the North Carolina Department of Labor, the North Carolina Housing Finance Agency, and the North Carolina IDA and Asset Building Collaborative to support the funding of IDA and other savings programs in the state.
- DCA will continue to serve on statewide housing policy boards such as the Housing Coordination and Policy Council.
- DCA will continue to collaborate with state and local agencies such as the local emergency management and housing agencies on disaster relief projects as they arise.
- DCA will continue to review plans submitted by housing agencies and public housing authorities in order to certify consistency with the Consolidated Plan.

North Carolina Housing Finance Agency

- The Agency is partnering with the U.S. Treasury Department, Commissioner of Banks and local housing counseling affiliates in the NC Foreclosure Prevention Fund, with funding from the Housing for Hardest Hit States Initiative and the state's foreclosure filing fees.
- The Agency has partnered with the Advanced Energy Corporation (AEC) of Raleigh, North Carolina for over 10 years and will continue this partnership, to train and assist local partners under three home ownership programs. AEC's services will include providing low-income homebuyers with guarantees that their heating and cooling costs will not exceed a very low, set level (as low as \$18/month). This broadens the window of affordability and enhances marketing effectiveness.
- The Agency will continue as a member of the Interagency Coordinating Council for Homeless Programs (ICCHP).
- The State will continue its collaborative relationship with the Department of Labor by providing matching funds and second mortgages for participants in the Department of Labor's IDA program. It will also broaden its support of IDA programs by developing partnerships statewide with other IDA programs.
- The Agency plans to continue its collaboration with Habitat for Humanity, in its operation of the Self Help Loan Pool (SHLP). In the SHLP collaboration, the NCHFA and Habitat for Humanity jointly provide a primary mortgage to low-income homebuyers of Habitat for Humanity homes.
- The Agency will continue its collaboration with Duke Energy, by facilitating energy-related home improvements for homeowners in the Duke Energy Service Area, using money provided to the Agency by Duke Energy.
- The Agency will continue its partnership with the North Carolina Home Builders Association (NCHBA), wherein it provides funding (along with local governments) to enable the NCHBA to offer construction training for a number of underemployed residents.
- The Agency will continue to fund the Displacement Prevention Partnership, which allows the Independent Living Program to assist households facing displacement due to mobility limitations. This Partnership will operate as a standalone program, separate from the Urgent Repair Program.
- The Agency works in close partnership with the state Department of Health and Human Services (DHHS) on the following initiatives:
 - The Targeting and Key Program, which provides operating subsidies so that persons with disabilities on Supplemental Security Income can have access to affordable housing.
 - The Transitions to Community Living Initiative / DHHS Supportive Housing Program, which is a tenant-based, rental assistance program to assist individuals with mental illness to transition from adult care homes to community living.
 - Section 811 Project Rental Assistance Demonstration Program, which provides rental assistance in integrated settings using participating affordable rental properties to house DHHS clients with disabilities. HUD has awarded the grant but not finalized the program rules or cooperative agreements yet.

Division of Aging and Adult Services

- The Division is a member of the Inter-Agency Council on Coordinating Homeless Programs and Housing Coordination and Policy Council. In addition, the agency collaborates with the NC Coalition to End Homelessness.

AIDS Care Program

- The Program will continue as an active participant on the Housing Coordination and Policy Council as well as the Inter-agency Council on Coordinating Homeless Programs.
- The Program will seek out opportunities to work with nonprofit organizations to provide services for those who are triply diagnosed (HIV/AIDS, mental illness and substance abuse issues).
- The Program will continue to collaborate with the other NC entitlement cities to ensure consistency among administration of the HOPWA Program.

PUBLIC PARTICIPATION

NOTICE OF PUBLIC HEARING

AGENCIES: North Carolina Department of Commerce, Division of Community Assistance
North Carolina Housing Finance Agency (NCHFA)
North Carolina Department of Health and Human Services, Office of Economic Opportunity
North Carolina Department of Health and Human Services, AIDS Care Program

ACTION: Notice is hereby given that the 2014 Consolidated Annual Action Plan for housing and community development programs will be published October 14, 2013 and available for public review and comment.

SUMMARY: The N.C. Consolidated Plan addresses housing and community development needs across the state and sets priorities for meeting those needs. The 2014 Consolidated Annual Action Plan details the state's plans for housing and community development programs and spending for 2014. The state's Consolidated Annual Action Plan includes the following programs funded by the U.S. Department of Housing and Urban Development (HUD): Small Cities Community Development Block Grant program (CDBG), HOME Investment Partnership (HOME), Emergency Shelter Grants (ESG) and Housing Opportunities for Persons with AIDS (HOPWA).

AVAILABILITY OF REVIEW MATERIALS: Copies of the 2014 Consolidated Annual Action Plan outline will be available on the web sites of the North Carolina Department of Commerce (www.nccommerce.com) and the North Carolina Housing Finance Agency (www.nchfa.com). Copies are also available by request

from the North Carolina Department of Commerce, Division of Community Assistance and will be distributed to all of the regional offices. Contact Iris Payne at (919) 571-4900 to receive a copy.

PUBLIC HEARING: A public hearing will be held on **November 1, 2013 from 9:00 a.m. – 10:00 a.m.** at the N. C. Rural Economic Development Center, 4021 Cary Drive, Raleigh, North Carolina, 27610. Persons wanting additional information on the public hearing may contact Iris Payne by phone at (919) 571-4900 ext 249 or via email at ipayne@nccommerce.com. Persons with disabilities or who otherwise need assistance should contact Ella Limehouse at (919) 571-4900 in advance of the hearing. Accommodations will be made for all who request assistance with participating in the public hearing by **Friday, October 25, 2013.**

COMMENT PERIOD: Comments concerning the 2014 Consolidated Annual Action Plan may be made at the public hearing. Written comments will be accepted until **5:00 p.m. Monday, November 7, 2013,** and may be made via email (ipayne@nccommerce.com), fax (919) 571-4951, or mailed to Iris Payne, Division of Community Assistance, 4313 Mail Service Center, Raleigh, NC 27699-4313.

This information is available in Spanish or any other language upon request. Please contact Iris Payne at 919-571-4900 or email compliance@nccommerce.com for accommodations for this request.

Esta información está disponible en español o en cualquier otro idioma bajo petición. Por favor, póngase en contacto con Iris Payne al 919-571-4900 or email compliance@nccommerce.com de alojamiento para esta solicitud.

AFFIDAVIT OF PUBLICATION

BUNCOMBE COUNTY


OCT 18 2013

SS.
NORTH CAROLINA

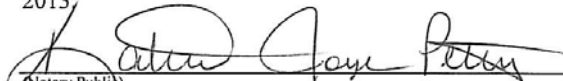
NC DEPT. OF COMMERCE
COMMUNITY ASSISTANCE

Before the undersigned, a Notary Public of said County and State, duly commissioned, qualified and authorized by law to administer oaths, personally appeared **Rene Simpson**, who, being first duly sworn, deposes and says: that she is the **Legal Billing Clerk of The Asheville Citizen-Times**, engaged in publication of a newspaper known as **The Asheville Citizen-Times**, published, issued, and entered as first class mail in the City of Asheville, in said County and State; that she is authorized to make this affidavit and sworn statement; that the notice or other legal advertisement, a true copy of which is attached hereto, was published in **The Asheville Citizen-Times** on the following date: October 16th 2013. And that the said newspaper in which said notice, paper, document or legal advertisement was published was, at the time of each and every publication, a newspaper meeting all of the requirements and qualifications of Section 1-597 of the General Statutes of North Carolina and was a qualified newspaper within the meaning of Section 1-597 of the General Statutes of North Carolina.

Signed this 16th day of October, 2013


(Signature of person making affidavit)

Sworn to and subscribed before me the 16th day of October, 2013.


(Notary Public)

My Commission expires the 5th day of October, 2018



(828) 232-5830 | (828) 253-5092 FAX

14 O. HENRY AVE. | P.O. BOX 2090 | ASHEVILLE, NC 28802 | (800) 800-4204



NOTICE OF PUBLIC HEARING

AGENCIES: North Carolina Department of Commerce, Division of Community Assistance
North Carolina Housing Finance Agency (NCHFA)
North Carolina Department of Health and Human Services, Office of Economic Opportunity
North Carolina Department of Health and Human Services, AIDS Care Program

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October 16, 2013 (1610)

THE CHARLOTTE POST

Affidavit of Publication
Mecklenburg County
NORTH CAROLINA

Before undersigned a **Notary Public** of said County and State, duly commissioned, qualified, and authorized by law to administer oaths, personally appeared: **Betty Holloway** of **The Charlotte Post**, a newspaper published, issued, and entered as second class mail in the City of Charlotte, in the said County and State, that she is authorized to make this affidavit and sworn statement, that advertisement of:

NC Department of Commerce
Attn: Ms. Patricia Bass
100 East Six Forks Road
4313 Mail Service Center
Raleigh, NC 27699

A true copy of which is attached hereto, was published in **The Charlotte Post** on the following date(s): **October 17, 2013**.

And that the said newspaper in which such notice/paper document of legal advertisement was published at the time of each and every such publication, a newspaper meeting all the requirements and qualifications of **Section 1-596 - 1-601** of the **General Statutes of North Carolina**.

This 17th day of October, 2013

Betty Holloway (Betty Holloway)

Linda Bailey Johnson

Sworn to and subscribed before me,

This 17th day of October, 2013

Notary Public for Mecklenburg County, North Carolina

My Commission Expires



Contact Gwenarda Isley if you require special accommodations for viewing the plan or attending the meeting.

NOTICE OF PUBLIC HEARING

AGENCIES: North Carolina Department of Commerce, Division of Community Assistance
North Carolina Housing Finance Agency (NCHFA)
North Carolina Department of Health and Human Services, Office of Economic Opportunity
North Carolina Department of Health and Human Services, AIDS Care Program

ACTION: Notice is hereby given that the 2014 Consolidated Annual Action Plan for housing and community development programs will be published October 14, 2013 and available for public review and comment.

SUMMARY: The N.C. Consolidated Plan addresses housing and community development needs across the state and sets priorities for meeting those needs. The 2014 Consolidated Annual Action Plan details the state's plans for housing and community development programs and spending for 2014. The state's Consolidated Annual Action Plan includes the following programs funded by the U.S. Department of Housing and Urban Development (HUD): Small Cities Community Development Block Grant program (CDBG), HOME Investment Partnership (HOME), Emergency Shelter Grants (ESG) and Housing Opportunities for Persons with AIDS (HOPWA).

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AFFIDAVIT OF PUBLICATION

STATE OF NORTH CAROLINA
COUNTY OF WAKE

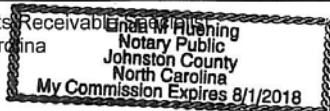
Ad Number
0000721830

Advertiser Name: NC DEPT OF COMMERCE
Address: 4313 MAIL SERVICE CENTER
RALEIGH, NC 276994313

Before the undersigned, a Notary Public of Wake County North Carolina, duly commissioned and authorized to administer oaths, affirmations, etc., personally appeared Debra Peebles, who being duly sworn or affirmed, according to law, doth depose and say that he or she is Accounts Receivable Specialist of The News & Observer a corporation organized and doing business under the Laws of the State of North Carolina, and publishing a newspaper known as The News & Observer, in the City of Raleigh, Wake County and State aforesaid, the said newspaper in which such notice, paper, document, or legal advertisement was published was, at the time of each and every such publication, a newspaper meeting all of the requirements and qualifications of Section 1-597 of the General Statutes of North Carolina and was a qualified newspaper within the meaning of Section 1-597 of the General Statutes of North Carolina, and that as such he or she makes this affidavit; and is familiar with the books, files and business of said corporation and by reference to the files of said publication the attached advertisement for NC DEPT OF COMMERCE was inserted in the aforesaid newspaper on dates as follows:

10/15/2013

Debra Peebles, Accounts Receivable Specialist
Wake County, North Carolina



Sworn to and subscribed before me
This 15th day of October, 2013

My Commission Expires: 8/1/18

Linda M. Huenig
Notary Signature

NOTICE OF PUBLIC HEARING

AGENCIES: North Carolina Department of Commerce,
Division of Community Assistance
North Carolina Housing Finance Agency (NCHFA)
North Carolina Department of Health and Human Services,
Office of Economic Opportunity
North Carolina Department of Health and Human Services,
AIDS Care Program

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COMMENT PERIOD: Comments concerning the 2014 Consolidated Annual Action Plan may be made at the public hearing. Written comments will be accepted until 5:00 p.m. Monday, November 7, 2013, and may be made via email (ipayne@nccommerce.com), fax (919) 571-4951, or mailed to Iris Payne, Division of Community Assistance, 4313 Mail Service Center, Raleigh, NC 27699-4313.

This information is available in Spanish or any other language upon request. Please contact Iris Payne at 919-571-4900 or email compliance@nccommerce.com for accommodations for this request.

Esta información está disponible en español o en cualquier otro idioma bajo petición. Por favor, póngase en contacto con Iris Payne al 919-571-4900 or email compliance@nccommerce.com de alojamiento para esta solicitud.

N&O: October 15, 2013

**2014 North Carolina Consolidated Annual Action Plan
Public Hearing
NC Rural Economic Development Center
November 1, 2013
9:00 a.m.-10:00 a.m.**

Opening Comments and Introductions	Iris Payne Division of Community Assistance
Purpose of this Public Hearing	Iris Payne
Summary of State CDBG Program	Iris Payne
Summary of HOME Program	Ben Strickling North Carolina Housing Finance Agency
Summary of ESG Program	Michael Leach North Carolina DHHS-Division of Aging and Adult Services
Summary of State HOPWA Program	Mary Ann Chap North Carolina DHHS-NC AIDS Care
Program	
Opening of Public Comment Period	Stacy Smith Division of Community Assistance
Closing of Public Comment Period	Stacy Smith
Other Ways to Make Public Comment	Stacy Smith
Concluding Comments	Iris Payne

**Public Hearing Meeting Minutes for the Public Hearing on the
2014 NC Annual Action Plan
November 1, 2013**

On November 1, 2013 the NC Division of Community Assistance held a Public Hearing at the NC Rural Economic Division in Raleigh, North Carolina, to receive comments associated with the North Carolina Consolidated Annual Action Plan for 2014.

Public Hearing Opened at 9:00 A.M.

Iris Payne, Division of Community Assistance, Program and Compliance Section Chief, facilitated the Public Hearing.

Attendees: Ben Strickland-North Carolina Housing Finance Agency, Michael Leach-Division of Health and Human Services (DAAS) Division of Aging and Adult Services, Mary Ann Chap-Division of Health and Human Services-AIDS Care Program (DHHS), Stacy J. Smith, Community Assistance, Iris Payne-Community Assistance, Ella Limehouse- Community Assistance, Oliver Bass- Community Assistance, Angela Williams- Community Assistance

Iris Payne: discussed the purpose of the Public Hearing: The 2014 Consolidated Annual Action Plan, of the State of North Carolina serves as the annual application for the four North Carolina Agencies, each of whom receives a formula grant from the United States Department of Housing and Urban Development (HUD).

The four agencies are:

- North Carolina Department of Commerce, Division of Community Assistance
- North Carolina Housing Finance Agency
- North Carolina Department of Health and Human Services, Division of Aging and Adult Services
- North Carolina Department of Health and Human Services, AIDS Care Program

These four agencies and their HUD-funded programs are working together to meet three goals for the good of North Carolinians. These three basic goals are:

- Provision of decent and affordable housing;
 - Provision of a suitable living environment; and
 - Expansion of economic opportunity.
- For the state to pursue a consolidated planning process that addresses the major housing and community development needs affecting North Carolina communities, to determine priorities for addressing those needs, and lay out a strategy for using the resources available.

- Pursuant to the provisions of 24CFR, Housing and Urban Development, Part 91, Purpose, the State develops and submits a consolidated annual plan from which HUD will evaluate the State's progress.
- The State will conduct at least one hearing on the performance report as required by the North Carolina Citizen Participation Plan.

Iris Payne- Division of Community Assistance:

Provided a summary of the State CDBG Program.

- Infrastructure
- Economic Development

CDBG Distribution of Funding:

- \$43.7 million was committed to the State of North Carolina's CDBG program.
- \$25 million is allocated for statewide eligible activities for public water and sewer improvements for residential neighborhoods in low to moderate areas.
- \$15 million is designated for economic development, infrastructure, administrative support, and other non-housing related activities.

Ben Strickland- North Carolina Housing Finance Agency:

Provided a summary of the HOME Program.

- NCHFA shall receive \$13million in HOME in 2014 and approximately 1.4 million in state appropriated HOME match funds.
- NCHFA plans to assist 571 households with HOME funds in 2014.
- NCHFA used HOME in the following activities in 2014:
 - Owner-occupied rehabilitation
 - Rental production

NCHFA Distribution of Funding

- Rental unit production and rehabilitation-49% of units or 277 households
- Owner-occupied rehabilitation-51% of units or 294 households
- In 2014, 433 units (75.8% of total units) will assist households with special needs.

NCHFA: Priorities Served

- NCHFA used HOME funds to serve the 2011-2015 Consolidated Plan priorities.
- 88% of the units placed in service in 2014 will assist high priority populations.
- 12% of the units will assist medium priority populations.
- 2014 HOME funds will not target low priority populations.

Michael Leach-N. C. Department of Health and Human Services-Division of Aging and Adult Services:

Provided a summary of the Emergency Shelter Grant Program.

ESG Goals

- Engage homeless individuals and families living on the street;
- Improve the number and quality of emergency shelters for homeless individuals and families;
- Help operate these shelters;
- Provide essential services to shelter residents,
- Rapidly re-house homeless individuals and families; and
- Prevent families and individuals from becoming homeless.

ESG Activities

- Street Outreach
- Emergency Shelter
- Homelessness Prevention
- Rapid Re-Housing Assistance
- HMIS

ESG Eligible Grantees

Emergency Response Activities include:

- Street Outreach
- Emergency Shelter: (including Transitional Housing)

System Coordination Activities include:

- Coordinated Intake
- HMIS

Housing Stability Activities include prevention and rapid re-housing through:

- Financial assistance
- Services
- Rent assistance

ESG Funding in 2014, ESG Program Anticipates Receiving \$3,873,344.

ESG Funding Priorities:

- High priority homeless families and individuals-anticipated households assisted 30,017; anticipated funding \$3,476,515.
- Anticipated Households between 0-30%- assisted-450, anticipated funding \$115,321.
- Total households assisted 30,476 and 3,582,836 in funding.

ESG Eligible Grantees:

- Apply as part of a regional application that is submitted to the State by a CoC or a regional committee of the Balance of State CoC.
- Be able to document client process verifying the HUD definitions of “homeless” or “at risk of homelessness;”
- Operate a program that include eligible emergency response, housing stability and /or system coordination activities;
- Not be a State licensed facility-State licensed facilities are not eligible to be funded by the ESG grant;
- Have an established accounting system for maintenance of ESG funds in accordance with OMB Circulars A-110 and A-122.
- Have at least one person on the board of directors that is homeless or formerly homeless;
- Have the ability to expend ESG Funds awarded within 365 days or the period of the contract;
- Have eligible resources to match the ESG funding received on a dollar for dollar basis.
- If operated by private, nonprofit organizations, must have the endorsement of the local government in the community in which the emergency or transitional shelter is located.
- Have been funded with FY11A ESG funds as an emergency or transitional shelter.

Mary Ann Chap-N.C. Department of Health and Human Services, AIDS Care Program: Provided a summary of State HOPWA Program.

- The primary goal of the HOPWA Program is to provide housing and housing-related services to persons/families living with HIV infection in 88 North Carolina counties covered by the HOPWA Program.
- The State HOPWA grant is administered by the North Carolina Department of Health and Human Services AIDS Care Program.
- HOPWA 2014 allocation was \$2,347,849.
- 97% will be awarded to 11 Project Sponsors across the state to serve 1,800+ individuals/family members.
- 3% will be set aside for grantee administration.
- Tenant-Based Rental Assistance-250 households served (\$1,267,839).
- Short-Term Rent, Mortgage and Utility Assistance-870 households served (\$536,091).
- Supportive Services-405 individuals assisted (\$377,650).
- Supportive Services-403 individuals assisted (\$70,435).
- Operating Costs for Dedicated Facilities-25 residents (\$309,134).
- Identification of Housing Resources and Provision of Housing information to individuals/families and service providers-260 households (\$23,479).
- Project Sponsor Administration (maximum 7% of HOPWA allocation (\$140,871).

Stacy Smith- Opened the Public Hearing Comment Period for the 2014 Annual Action Plan.

All public comments will be received until November 8, 2013 at 5 p.m.

Public Comment Period closed at 9:12 a.m.

Public Hearing closed at 9:20 a.m

Other Public Comments Submitted

Hello Iris,

Responding to your email about the public hearing process for the 2014 Annual Action Plan , please accept these comments concerning the draft plan.

As I understand the CDBG Block grant provisions in the budget adopted by the 2013 General Assembly, \$25 m was allocated for Infrastructure to be administered by DENR. Budget language gives priority to 'critical needs'.

1. The method of distributing funds in this category should use an 'open window' approach, with funds awarded to applications for qualifying projects as they become ready-to-go with other funding and permits in place.
2. Priority should be given to local governments with a low-moderate income majority in the population that have water or wastewater systems under Moratorium or Special Orders of Consent, or critical water, wastewater or stormwater needs in redevelopment areas.
3. Second priority should go to local governments that do not have a majority low-moderate income population, but with critical failures, leaky lines or other deteriorated water/wastewater components serving low-moderate income areas, or in designated redevelopment areas.
4. A portion of Infrastructure funds, up to \$5 million, should also be targeted to provide infrastructure for low-moderate income housing development, such as single family subdivisions where homes are being built by Habitat for Humanity and other non-profits, and for Low Income Housing Tax Credit projects. If demand for funding other critical needs describe above is very strong, the set-aside for housing-related infrastructure could be released midway through the year.

These comments are also offered to inform the redefined Infrastructure category in the 2013 Action Program.

Regional councils and the North Carolina League of Municipalities should be able to help speed delivery of the new Infrastructure program to eligible local governments with critical needs.

thank you for your considering these comments with relevant staff.

Bill McNeil

STATEMENT OF SPECIFIC OBJECTIVES AND AFFORDABLE HOUSING - CONSOLIDATED

(Citation: 24CFR91.320(c) and (g))

One-Year Specific Housing Goals and Objectives

The three tables shown below highlight how the four core programs will continue to have an impact on the needs of the state. The tables provide the anticipated households assisted and funding amounts (in thousands of dollars) allocated for each activity type; need type; and priority category. In reviewing the tables, it is important to recognize that the state considered strategic investments of its limited resources through its programs, as well as the appropriateness of the resources available to address the priority need categories.

One-Year Affordable Housing Goals & Objectives

Goals by Activity Type - §91.320(g)		
Activity Type	Anticipated Households Assisted*	Anticipated Funding (\$000s)
Rental Assistance	1,722	\$1,850
Production of New Units	1,171	\$16,496
Rehabilitation of Existing Units	381	\$14,552
Acquisition of Existing Units	170	\$1,952
Other Activities Not Specified Above	50,321	\$22,612
Total	53,765	\$57,462

Goals by Special Needs - §91.320(g)		
Need Type	Anticipated Households Assisted*	Anticipated Funding (\$000s)
Homeless	43,509	\$2,855
Non-Homeless	5,201	\$38,456
Special Needs	5,054	\$16,151
Total	53,765	\$57,462

Annual Objective by Priority - §91.320(c)(3) & §91.320(d)		
Priority	Anticipated Households Assisted*	Anticipated Funding (\$000s)
High Priority		
Homeless Families and Individuals	43,509	\$2,855
Non-homeless Persons with Special Needs**	5,054	\$17,593
Households between 0-30% AMI	312	\$2,136
Medium Priority		
Households between 31-60% AMI	3,069	\$22,235
Lower Priority		
Households between 61-80% AMI	1,820	\$12,644
Total	53,765	\$57,462

**'Households' is defined as households, individuals or units assisted.*

***'Special Needs' is defined as persons with disabilities, low-income elderly persons, and persons with HIV/AIDS.*

Outcome Measures

Uniform performance measures have been developed by HUD. The Consolidated Plan Partners have chosen appropriate performance measures for their specific programs. The following are the performance measures for North Carolina HUD-funded programs by Agency, category, objective, and outcome.

Outcome -->	Availability/ Accessibility	Affordability	Sustainability
Objective -->	Suitable Living Environment		
	Decent Housing		
	Creating Economic Opportunities		

PROGRAM REPORT - COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG) PROGRAM ^{(91.320 κ(1))}

Administered by the North Carolina Department of Commerce, Division of Community Assistance, the CDBG Program provides grants to non-entitlement local governments for projects that enhance the viability of communities by providing decent housing and suitable living environments and by expanding economic opportunities, principally for persons of low- and moderate-income.

Sources of Funds

(Citation: 24CFR91.220(c)(1)) and (c)(2))

North Carolina's Housing Resources

In 2014, the CDBG program proposes to assist over 53,000 households/persons and more than 43,000 homeless individuals and families through the four Department of Housing and Urban Development programs that fund the construction/rehabilitation of housing units, home buyer assistance for existing housing, rental assistance and some housing-related services. The state expects to issue grants totaling approximately \$32.7 million in CDBG funds for housing related activities in 2014.

To conduct housing-related activities, the state uses non-federal as well as federal funds. The available funding sources can be categorized as shown below:

Federal

- Community Development Block Grant Program (CDBG)
- Neighborhood Stabilization Program (NSP1 and NSP3)
- American Recovery and Reinvestment Act Funds

State

- State Tax Credits

Other Resources

- Housing Credits

North Carolina rarely funds 100% of any development. Rather, it attempts whenever possible to leverage investment from local governments, nonprofit organizations, for profit developers, and private investors.

Matching Requirements

The table below lists the federal and/or state matching funds requirements for this program.

Program	Federal Matching Requirement	State Matching Requirement
CDBG	The state is required to provide an annual 1:1 match for federal administrative funds. The requirement is met by the state paying non-CDBG staff salaries.	Infrastructure applications are rated for local commitment only in Tier 3 communities. In the Economic Development Program, all local governments except Tier 1 counties are required to provide a 25% match for the grant. A 5% match is required for Infrastructure grants.

Additional Funding Resources

The state was allocated funding under the following Congressional Acts for various agencies:

- Housing and Economic Recovery Act of 2008 (HERA)
- American Recovery and Reinvestment Act of 2009 (ARRA)
- 2010 Wall Street Reform and Consumer Protection Act (Dodd-Frank)

Neighborhood Stabilization Program – The North Carolina Department of Commerce, Division of Community Assistance (DCA) was awarded \$52.3 million and \$5 million in Neighborhood Stabilization Program funds in the first round (NSP1) and third round (NSP3) of funding, respectively. The purpose of NSP funding is to stabilize neighborhoods whose viability has been, and continues to be, damaged by the economic effects of properties that have been foreclosed upon and abandoned. These targeted funds will be used to purchase foreclosed homes at a discount and to rehabilitate or redevelop them in order to respond to rising foreclosures and falling home values. NSP1 funds were originally authorized under Section 2301(b) of HERA, as amended, and an additional allocation of funds for NSP3 provided under Section 1497 of Dodd-Frank Act. DCA awarded nineteen (19) grants under NSP1 and five (5) grants under NSP3.

Monitoring

The state will continue to monitor housing and community development activities of its subrecipients to ensure long term compliance with program requirements and comprehensive planning requirements. Program requirements include appropriate regulations and statutes of the programs involved, including steps being taken to review affordable housing activities, ensure timeliness of expenditures, and on-site inspections to determine compliance with applicable housing codes.

Each of the Consolidated Plan Partners has plans for monitoring and ensuring compliance in their own programs. These plans may include elements such as site-visits, file reviews, interviews with residents, and reviews for compliance with federal and state regulations (such as Davis-Bacon, Section 3, Fair Housing, Language Access, Equal Opportunity and Procurement requirements). The monitoring plans vary by program and funding source. Consolidated Plan partners are subject to both federal regulations and established regulations as set forth in the State of North Carolina Administrative code. Although all various HUD programs are subject to various federal regulations and separate state regulations, there are some common monitoring requirements with the monitoring of the HUD programs. All partners are required to certify to the United States Department of Housing and Urban Development that each program will comply with all applicable laws and regulations.

All consolidated plan partners are prohibited by State administrative code from entering into a new grant agreement with any agency, local government, and/or organization that has been identified by the State Office of Budget and Management on the State Do Not Fund List.

Lead-Based Paint

DCA will continue to encourage local units of government to be involved with lead-based paint removal programs. Furthermore, DCA will encourage local governments to work with agencies that are established primarily to mitigate lead-based paint conditions and provide for its removal, as well as address other environmental concerns.

CDBG Objectives and Outcomes

CDBG objectives and outcomes are specific to each program category. The category with corresponding objective and outcome are provided here.

Categories	Objective	Outcome
Infrastructure	Creating Suitable Living Environment	Sustainability
Economic Development	Creating Economic Opportunities	Availability

Allocation Priorities

Funds will be made available through both competitive and open funding cycles. Funds will be allocated statewide based on housing needs, as documented in the *North Carolina Housing Market Analysis & Needs Assessment* in the *2011-2015 Strategic Consolidated Plan*, as well as on the service-provision infrastructure in the state.

Geographic Distribution

Each program has a unique method of geographic distribution. The funding for the Community Development Block Grant Program is directed to non-entitlement communities across the state.

Homeless and Other Special Needs

(Citation: 24CFR91.320(e))

The state addresses the needs of the homeless and other special needs persons through administration and funding of numerous programs. The state addresses emergency shelter and transitional housing needs of homeless individuals and families to prevent them from becoming homeless with the Emergency Solutions Grants Program (ESG), the Supportive Housing Development Program (funded in part with HOME), and the Key Program (funded in part with HOME Match) through the provision of grants and loans to develop and operate emergency, transitional or supportive housing and permanent housing. ESG also helps to combat chronic homelessness through the provision of preventive programs and activities. The state addresses the needs of those who are not homeless through the Supportive Housing Development Program (SHDP), Key Program, and Housing Opportunities for Persons with AIDS Program (HOPWA). SHDP helps partners develop permanent and transitional housing for persons with disabilities, Key provides operating subsidies for housing for persons with disabilities, and HOPWA provides tenant based rental assistance, short-term mortgage and utility assistance, housing information, supportive services and resource identification to persons with HIV/AIDS.

Barriers to Affordable Housing

The Analysis of Impediments to Fair Housing Choice, completed in 2010, summarizes barriers to affordable housing and is available to the public. The Housing Coordination and Partnership Council advises the Governor and General Assembly on barriers to affordable housing in North Carolina, and the Housing Partnership, the oversight board of the state's Housing Trust Fund, considers barriers to housing in the allocation of state resources. In carrying out their regular programs and funding cycles, the Consolidated Plan Partners continue to promote the removal of barriers to affordable housing. The Consolidated Plan partners will take efforts to address those impediments through individual agency efforts as well as a collaborative effort as partners.

One Year Goals to Address Impediments to Fair Housing Choice Partners for 2014

Below is a table describing each agency-specific activities that each agency will undertake to address the identified impediments in the 2011-2015 Analysis to impediments to Fair Housing Choice Study.

Impediment	Goal	Implementation	Agency Lead
#1	#1	Consolidated Plan Fair Housing Workshop	DCA
	#1b	Developing a FHIP	DCA
	#1c	Fair Housing Task Force	ESG/HOPWA
#2	#2	Outreach and Education to rental housing providers/landlords	NCHFA/DCA
#3	#3	Constraints in lending markets	NCHFA
#4	#4	Land Use Practices	DCA
#2	#2	Offer Fair Housing Workshop at state ESG Conference	ESG
#2	#2	Disseminate via electronic mail information regarding fair housing and training opportunities	ESG

Anti-Poverty Strategies

DCA believes that the true eradication of poverty means providing a holistic approach to community development. The CDBG eligible activities are designed within the framework that allows grantees to address infrastructure, economic, human capital and all other community development needs. DCA in concurrence with the Consolidated Plan partners also believes that eradication of poverty means providing residents with tools to help themselves improve their financial stability.

In addition, DCA also believes in the importance of the prevention of poverty and will continue to operate the Individual Development Account category which provides down payment assistance, credit and housing counseling, financial literacy, and homeowner education to prospective first time homebuyers. By assisting low income residents to acquire wealth, the Division has programs in place that will help provide those residents a “step up” out of poverty as well as build wealth and skills so that residents can remove themselves from the debt cycle that plagues many low income families.

As a method to ensure that funds are directed to areas of high poverty across the state, many CDBG categories reserve their grant funds for Tier I and Tier 2 counties and state development zones. The Tier system is based on North Carolina William S. Lee Quality Jobs and Business Expansion Act, which divides counties into tiers based upon their relative economic development needs. Tier 1 and Tier 2 counties are

seen as having a more dire need for economic and community development services. Grant categories such as Revitalization Strategies are set aside for those counties. State Development Zones are particular areas of counties or municipalities that through census and other quantitative data, demonstrate high levels of poverty and other characteristics of high levels of economic and community development need. Neighborhoods in the State Development Zone (but not in entitlement cities) are also entitled to the same preferences as Tier 1 & 2 counties.

Public Housing Initiatives

The public housing authorities (PHA) are components in the statewide system for the delivery of affordable housing. Local housing authorities are established through the auspices of local government, subject to state enabling legislation. Neither the state nor DCA specifically have direct oversight for local PHA, however we may partner with these entities through a grantee or project sponsor relationship to complete local projects or activities. DCA does certify local plan's or project's consistency with the state program's Consolidated Plan for PHAs with a troubled or standard performing assessment issued by HUD.

Description of Activities

North Carolina expects to receive approximately \$43.7 million in CDBG funds. Of this amount, \$42.3 million will be made available for Infrastructure and Economic Development activities. In addition, other funds may become available as a result of additional HUD allocations, recapture, reversion, or carry-over of prior year funds and program income. The state makes these funds available through grants to non-entitlement governments statewide.

Investment Plan – 2014 Distribution

Infrastructure	\$27.3 million
Economic Development	\$15 million
Administration	\$1.4
2014 HUD Grant to State	\$43.7 Million

Threshold Requirements and Selection Criteria by Program Category

The grant size limits, threshold requirements, selection criteria, and selection process, when applicable, are summarized here for each CDBG program. A more detailed description of requirements and criteria is provided in the application guidelines for each CDBG program category.

Competitive categories usually prescribe selection criteria and selection processes for each program, whereas non-competitive categories prescribe specific review criteria.

All categories must meet some type of threshold requirement. All except the above exempt categories plus any demonstration projects may not receive awards or have applications under consideration for more than a total of \$1,250,000 from a fiscal year's allocation to the state.

An estimated total of \$27.3 million will be available in the **Infrastructure (IF)** category per Special Provision language as directed by the N.C. General Assembly. Eligible local governments may obtain grants of up to \$850,000 to provide new infrastructure (public water and/or public sewer) and to make improvements to water or sewer treatments plants that have specific problems such as being under moratoriums or special orders of consent. In an effort to address needs in Tier 1 Communities, half of the total IF funds will be available to local governments in Tier 1 Counties on an open-ended basis. The other half of the funds will be available to all eligible local governments.

Applicants with one or more current grants in any category except economic development must meet financial or closeout requirements as specified in the grantee's performance based contract and in the closeout schedule for each grant fiscal year. Problems with previous grants must be resolved.

Criteria for IF awards include: severity of needs; benefit to low and moderate income persons; local commitment; treatment of needs; and appropriateness and feasibility.

The **Economic Development (ED)** category will continue the policy of providing higher levels of funding to the most economically distressed areas of the state. The following considerations will be included for job creation and retention projects during the 2014 program year: 60% of the jobs created or retained in a project must benefit persons qualifying as prior low and moderate income (LMI). Funding for Economic Development projects is based on the number of jobs to be created or retained and the level of distress in the community applying for the funds. Areas with higher distress rankings, based on the North Carolina Tier rating system, are eligible for more funds per job created.

CDBG funds are granted to local governments for various types of infrastructure improvements to assist business expansion or retention. A local funding match of at least one dollar for every three CDBG dollars is required except for the 25 most distressed counties as ranked for the Article 3J Tax Credits legislation. In a secondary priority to infrastructure projects and at the discretion of the Secretary of Commerce, direct financial assistance to private companies is available as loans to be negotiated by the local government applicant and a participating North Carolina commercial bank at a level not to exceed 50% of the bank loan. Repayment of the loan by the private company becomes program income to the state and is deposited into a CDBG economic development revolving loan fund (RLF). Funding from the RLF is available only as loans.

Loans for industrial shell buildings are available from the RLF based on the projected number of jobs to be created and the level of distress in the community. These loans will be at a 2% interest rate with a maximum term of 5 years. Principle payments are deferred for the first two years of the loan. A dollar for dollar match is required by the local government applicant for an industrial shell building. Also, up

to \$500,000 will be set aside in the RLF for counties in Tiers 1-3 as loans to assist with the costs associated with certifying industrial sites. These loans are repaid after the certified site is sold or within five years of award.

Funds are granted to local governments that propose a project in conjunction with a private for profit business that proposes to restore a vacant building to economic use resulting in the creation or retention of permanent, full-time jobs by the project company. To be eligible, documentation must be provided showing the building has been vacant thirty (30) consecutive days or more. CDBG funds for this category are limited to a maximum of \$750,000 per unit of government per program year. The grant amount is calculated based on \$20,000 per job for 3J Tax Credit eligible businesses (see chart) and \$12,000 per job for businesses not eligible for tax credits. CDBG funds provided to the company by the local unit of government will be in the form of a forgiven loan. The loan has a term of five years with no principal or interest payments. If the project company retains the jobs pledged in the loan agreement for the five year term, the entire amount is forgiven.

Certain threshold requirements apply, including: \$1.25 million cap for the yearly funding cycle; expenditure levels of open grants; and proper closeout of previous grants.

Technical Assistance

Technical Assistance (TA) is provided to program grantees in an effort to develop the professional skills and capabilities of local community development grant administrators. Each year, DCA and the University of North Carolina at Chapel Hill's Institute of Government present a 5-day Community Development Academy for grant administrators. Participants that complete the course and pass an exam receive a certificate of completion, indicating knowledge of community development topics. In addition, funds are used to hold a variety of workshops and seminars throughout the year, taught by DCA staff and others.

PROGRAM REPORT - HOME INVESTMENT PARTNERS (HOME) PROGRAM ^{(91.320 k(2))}

Administered by the North Carolina Housing Finance Agency (NCHFA), the HOME Program will provide financing to nonprofit housing organizations, for-profit developers, lead regional organizations and local governments to increase the availability of standard, affordable housing in North Carolina.

Sources of Funds

(Citation: 24CFR91.220(c)(1)) and (c)(2))

North Carolina's Housing Resources

In 2014, NCHFA proposes to use \$13 million in HOME funds to assist over 500 households in North Carolina. In addition, NCHFA hopes to leverage other federal funds, as well as state funds and other resources, to assist more than 25,000 households through the construction and rehabilitation of housing

units, through home buyer assistance for existing housing, by providing rental assistance to low-income and disabled tenants, and through foreclosure prevention and counseling activities.

To conduct housing-related activities, the state uses the following funding sources:

Federal

- HOME Investment Partnership Program (HOME)
- Housing for Hardest Hit Funds

State

- North Carolina Housing Trust Fund (HTF) and HOME Match funds appropriated by the General Assembly
- State Tax Credits

Other Resources

- Housing Credits
- Mortgage Credit Certificates
- National Foreclosure Mitigations Counseling Grant

North Carolina rarely funds 100% of any development. Rather, it attempts whenever possible to leverage investment from local governments, nonprofit organizations, for profit developers, and private investors.

Matching Requirements

The table below lists the federal and/or state matching funds requirements for this program.

Program	Federal Matching Requirement	State Matching Requirement
HOME	25% match required. This is a requirement of the state as a Participating Jurisdiction but it is not passed on to recipients under the state programs that are funded with HOME. Some of the state's required match is appropriated by the General Assembly. The NCHFA provides the rest of the match.	There is no match requirement imposed by the state.

Monitoring

The state will continue to monitor housing and community development activities of its subrecipients to ensure long term compliance with program requirements and comprehensive planning requirements. Program requirements include appropriate regulations and statutes of the programs involved, including steps being taken to review affordable housing activities, ensure timeliness of expenditures, and on-site inspections to determine compliance with applicable housing codes.

Each of the Consolidated Plan Partners has plans for monitoring and ensuring compliance in their own programs. These plans may include elements such as site-visits, file reviews, interviews with residents, and reviews for compliance with federal and state regulations (such as Davis-Bacon, Section 3, Fair Housing, Language Access, Equal Opportunity and Procurement requirements). The monitoring plans vary by program and funding source. Consolidated Plan partners are subject to both federal regulations and established regulations as set forth in the State of North Carolina Administrative code. Although all

various HUD programs are subject to various federal regulations and separate state regulations, there are some common monitoring requirements with the monitoring of the HUD programs. All partners are required to certify to the United States Department of Housing and Urban Development that each program will comply with all applicable laws and regulations.

All consolidated plan partners are prohibited by State administrative code from entering into a new grant agreement with any agency, local government, and or organization that has been identified by the State Office of Budget and Management on the State Do Not Fund List.

Lead-Based Paint

All HOME-funded programs will continue to be in compliance with U.S. Department of Housing and Urban Development lead regulations (24 CFR Part 35).

The Agency will continue to participate quarterly in an ad hoc lead hazards advisory group made up of concerned individuals from the public health, environmental protection, affordable housing, and occupational safety sectors.

Statement of Specific Objectives and Affordable Housing

(Citation: 24CFR91.320(c) and (g))

One-Year Specific Housing Goals and Objectives

The three tables shown below highlight how the HOME program will continue to have an impact on the needs of the state. The tables provide the anticipated households assisted and funding amounts (in thousands of dollars) allocated for each activity type; need type; and priority category. In reviewing the tables, it is important to recognize that the state considered strategic investments of its limited resources through its programs, as well as the appropriateness of the resources available to address the priority need categories.

One-Year Affordable Housing Goals & Objectives (HOME)

Goals by Activity Type - §91.320(g)		
Activity Type	Anticipated Households Assisted*	Anticipated Funding (\$000s)
Rental Assistance	0	\$0
Production of New Units	277	\$3,728
Rehabilitation of Existing Units	294	\$9,272
Acquisition of Existing Units	0	\$0
Other Activities Not Specified Above	0	\$0
Total	571	\$13,000

Goals by Special Needs - §91.320(g)		
Need Type	Anticipated Households Assisted*	Anticipated Funding (\$000s)
Homeless	0	\$0
Non-Homeless	138	\$1,864
Special Needs	433	\$11,136
Total	571	\$13,000

Annual Objective by Priority - §91.320(c)(3) & §91.320(d)		
Priority	Anticipated Households Assisted*	Anticipated Funding (\$000s)
High Priority		
Homeless Families and Individuals	0	\$0
Non-homeless Persons with Special Needs**	433	\$11,136
Non-homeless, non-Special Needs Households between 0-30% AMI	69	\$932
Medium Priority		
Non-homeless, non-Special Needs Households between 31-60% AMI	69	\$932
Lower Priority		
Non-homeless, non-Special Needs Households between 61-80% AMI	0	\$0
Total	571	\$13,000

**'Households' is defined as households, individuals or units assisted.*

***'Special Needs' is defined as persons with disabilities, low-income elderly persons, and persons with HIV/AIDS.*

Outcome Measures

Uniform performance measures have been developed by HUD. The Consolidated Plan Partners have chosen appropriate performance measures for their specific programs. The following are the performance measures for North Carolina HUD-funded programs by Agency, category, objective, and outcome.

Outcome -->	Availability/ Accessibility	Affordability	Sustainability
Objective -->	Suitable Living Environment		
	Decent Housing		
	Creating Economic Opportunities		

For all HOME-funded activity, the objective category is “decent affordable housing” and the outcome category is “affordability”.

Allocation Priorities

Funds will be made available through both competitive and open funding cycles. Funds will be allocated statewide based on housing needs, as documented in the *North Carolina Housing Market Analysis & Needs Assessment* in the *2011-2015 Strategic Consolidated Plan*, as well as on the service-provision infrastructure in the state. See the “One-Year Affordable Housing Goals & Objectives” tables for the allocation priorities and proposed distribution of funds to address those needs.

Geographic Distribution

Each program has a unique method of geographic distribution. The program funding through the HOME Program is distributed statewide.

Homeless and Other Special Needs

(Citation: 24CFR91.320(e))

The state addresses the needs of the homeless and other special needs persons through the Emergency Solutions Grants Program (ESG), the Key Program (funded in part with HOME Match), and through the provision of grants and loans to develop and operate emergency, transitional or supportive housing and permanent housing. ESG also helps to combat chronic homelessness through the provision of preventive programs and activities. The state addresses those with special needs who are not homeless through the Supportive Housing Development Program (SHDP), Key Program, and Housing Opportunities for Persons with AIDS Program (HOPWA). SHDP helps partners develop permanent and transitional housing for persons with disabilities, Key provides operating subsidies for housing for persons with disabilities, and HOPWA provides tenant based rental assistance, short-term mortgage and utility assistance, housing information, supportive services and resource identification to persons with HIV/AIDS.

Barriers to Affordable Housing

The Analysis of Impediments to Fair Housing Choice, completed in 2010, summarizes barriers to affordable housing and is available to the public. The Housing Coordination and Policy Council advises the Governor and General Assembly on barriers to affordable housing in North Carolina, and the Housing Partnership, the oversight board of the state's Housing Trust Fund, considers barriers to housing in the allocation of state resources. In carrying out their regular programs and funding cycles, the Consolidated Plan Partners continue to promote the removal of barriers to affordable housing. The Consolidated Plan partners will make efforts to address those impediments through individual agency initiatives as well as through a collaboration as partners.

One Year Goals to Address Impediments to Fair Housing Choice Partners for 2014

In 2014, the Agency will continue to undertake the NCHFA-specific initiatives outlined in the 2011-2015 Analysis to impediments to Fair Housing Choice Study.

Anti-Poverty Strategies

Many of the activities NCHFA plans to undertake or continue in the 2014 period are anti-poverty activities:

- Financing of supportive rental housing;
- Providing funding for qualified low, very low, and extremely low income home buyers through individual development accounts;
- Financing of transitional and permanent housing for homeless and disabled persons;
- Operating and promoting programs that prevent foreclosure;
- Financing affordable rental housing for households below 60% of area median income;
- Providing rent assistance for disabled households through the Key Program; and
- Administering HUD rent assistance contracts for 24,000 privately owned apartments.

Public Housing Initiatives

The public housing authorities (PHA) are components in the statewide system for the delivery of affordable housing. Local housing authorities are established through the auspices of local government, subject to state enabling legislation. The State does not specifically have direct oversight for local PHA, however we may partner with these entities through a grantee or project sponsor relationship to complete local projects or activities.

Low-Income Housing Tax Credits (LIHTCs)

The North Carolina Housing Finance Agency (NCHFA) administers the Low Income Housing Tax Credit (LIHTC) program in North Carolina. The LIHTC program produces and rehabilitates approximately 2,500 units of affordable rental housing units each year for low-income households. The distribution of this

resource is governed by the state's annual Qualified Allocation Plan (QAP). Under IRS Code Section 42(m)(1)(B)(ii), QAPs must give preference to projects which:

- Serve the lowest income tenants,
- Are obligated to serve qualified tenants for the longest periods, and
- Are located in qualified census tracts and the development of which contributes to a concerted community revitalization plan.

The QAP is generally compatible with the goals of the Consolidated Plan because the LIHTC program produces multifamily housing units affordable to persons at or below 60% AMI.

HOME Program Details

- i. In addition to administering the \$13 million allocation of HOME, NC Housing Finance Agency also administers the state's Housing Trust Fund, Low Income Housing Tax Credits, State Tax Credits, Home Protection Program, Mortgage Credit Certificates and Mortgage Revenue Bonds. NCHFA also administers the National Foreclosure Mitigation Counseling Grant, the Neighborhood Stabilization Program, and Housing for Hardest Hit funds.

- ii. **Resale/Recapture Provisions**

Housing rehabilitation loans under the Single Family Rehabilitation Program will be provided as deferred and forgivable, forgiven at \$3,000 per year over the life of the loan.

Home buyer loan subsidies available under the Community Partners Loan Pool will be provided as subordinate deferred-payment loans that are not forgiven. Home buyer loans under the Self-Help Loan Pool will be provided as principal-only amortizing loans. Loans under all home buyer programs will be due and payable (1) when the property is sold, (2) when the property is no longer the home buyer's principal residence, (3) at the end of the loan term, or (4) when the first mortgage is refinanced. If the net proceeds of the sale of the property are insufficient to repay the home buyer loans in full, the Agency will accept its pro rata share of the net proceeds in full satisfaction of the loan.

- iii. HOME funds are not used for refinancing of existing debt, even in developments rehabilitated with HOME funds.
- iv. **ADDI Program**
At this time, the Agency does not expect to receive an ADDI allocation for the period of the 2014 Action Plan.
- v. Additional information not required by 24 CFR 91

Consortia

The North Carolina Housing Finance Agency will not approve additional HOME Consortia, if doing so will result in a net loss of HOME funds to the state.

Rental Assistance for Tenants with Disabilities

Starting in 2002, NCHFA partnered with the NC Department of Health and Human Services (DHHS) to facilitate the inclusion of persons with disabilities and the homeless within LIHTC properties. As part of this partnership, LIHTC applicants commit to targeting 10% of the units in their developments to persons with disabilities. To support this commitment, developers partner with local lead agencies in the preparation and implementation of Targeting Plans. These plans outline how the property will work with the agencies to make these units available.

In order to make the targeted units affordable, NCHFA and DHHS expanded their partnership in 2004 to create the Key Program. This program provides a bridge subsidy for households on disability income who have not yet received a Section 8 Housing Choice Voucher. Through this award-winning program, the Agency is able to assist in providing stable and affordable housing for these high priority populations.

Affirmative Marketing

The state has adopted procedures consistent with the requirements of affirmative marketing under 24 CFR Part 92.351(a) and (b). Recipients and sub recipients of HOME funding must certify that they have developed and adopted affirmative marketing procedures for HOME-assisted housing. In both rental and home ownership situations, the Agency works to address impediments to administering the HOME Program. In addition, the Agency reviews marketing plans as part of its application review process and provides training to rental property managers on a regular basis.

Minority Outreach

NCHFA has conducted outreach to both recipients of HOME awards and businesses contracted by those recipients. Language encouraging the use of minority- and women-owned businesses is included in either the commitment letter or program guidelines of HOME-funded programs involving contractors. Further, the NC Office for Historically Underutilized Businesses maintains a website of minority- and women-owned businesses, services, and suppliers, and provides comprehensive and continuing assistance to support them. The Agency encouraged HOME recipients in construction programs to utilize the Department of Administration's online search tool to find contractors. In addition, the Agency contacted the contractors and subcontractors of which it is aware and encouraged them to list their businesses on this search tool.

PROGRAM REPORT - EMERGENCY SOLUTIONS GRANTS (ESG) PROGRAM (91.320 k(3))

North Carolina's process for allocating ESG funding is achieved in collaboration with the NC Coalition to End Homelessness and Continuum of Care (CoC). Agencies seeking funding submit an application of intent to their CoC. In turn, the CoC review ESG applications and recommend funding for agencies that plan activities and goals that most closely align with outcomes of the CoC. The Division of Aging and Adult Services staff review and rate applications and also approve contracting with agencies.

Sources of Funds

(Citation: 24CFR91.220(c)(1)) and (c)(2))

North Carolina's Housing Resources

North Carolina's Housing Resources In 2014, the ESG program proposes to assist 30,467 households. The state expects to issue contracts totaling approximately \$3.5 million in ESG funds for eligible activities in 2014.

To conduct housing-related activities, the state uses non-federal as well as federal funds. The available funding sources can be categorized as shown below:

Federal

- Community Development Block Grant Program (CDBG)
- Emergency Solutions Grants Program (ESG)
- HOME Investment Partnership Program (HOME)
- Homeless Prevention and Rapid Re-housing Program (HPRP)
- Housing for Hardest Hit Funds
- Housing Opportunities for Persons with AIDS Program (HOPWA)
- Neighborhood Stabilization Program (NSP1 and NSP3)
- American Recovery and Reinvestment Act Funds
- Tax Credit Assistance Program (TCAP)
- The Exchange Program

State

- North Carolina Housing Trust Fund (HTF) and HOME Match funds appropriated by the General Assembly
- HPP – Housing Protection Program appropriated by the General Assembly
- State Tax Credits
- Transitions to Community Living Program

Other Resources

- Housing Credits
- Mortgage Credit Certificates
- Mortgage Revenue Bonds
- National Foreclosure Mitigations Counseling Grant

North Carolina rarely funds 100% of any development. Rather, it attempts whenever possible to leverage investment from local governments, nonprofit organizations, for profit developers, and private investors.

Matching Requirements

The table below lists the federal and/or state matching funds requirements for this program.

Program	Federal Matching Requirement	State Matching Requirement
ESG	The State is required to match Emergency Solutions Grant funding received annually with an equal amount of funding from other sources. This requirement is passed to state grant recipients as allowed by ESG program regulations.	The State uses a combination of State funds and sub-grantee funds to achieve the required federal match of funding.

Monitoring

The state will continue to monitor housing and community development activities of its sub recipients to ensure long term compliance with program requirements and comprehensive planning requirements. Program requirements include appropriate regulations and statutes of the programs involved, including steps being taken to review affordable housing activities, ensure timeliness of expenditures, and on-site inspections to determine compliance with applicable housing codes.

Each of the Consolidated Plan Partners has plans for monitoring and ensuring compliance in their own programs. These plans may include elements such as site-visits, file reviews, interviews with residents,

and reviews for compliance with federal and state regulations (such as Davis-Bacon, Section 3, Fair Housing, Language Access, Equal Opportunity and Procurement requirements). The monitoring plans vary by program and funding source. Consolidated Plan partners are subject to both federal regulations and established regulations as set forth in the State of North Carolina Administrative code. Although all various HUD programs are subject to various federal regulations and separate state regulations, there are some common monitoring requirements with the monitoring of the HUD programs. All partners are required to certify to the United States Department of Housing and Urban Development that each program will comply with all applicable laws and regulations.

All consolidated plan partners are prohibited by State administrative code from entering into a new grant agreement with any agency, local government, and or organization that has been identified by the State Office of Budget and Management on the State Do Not Fund List.

Statement of Specific Objectives and Affordable Housing

(Citation: 24CFR91.320(c) and (g))

One-Year Specific Housing Goals and Objectives

The three tables shown below highlight how the ESG will continue to have an impact on the needs of the state. The tables provide the anticipated households assisted and funding amounts (in thousands of dollars) allocated for each activity type; need type; and priority category. In reviewing the tables, it is important to recognize that the state considered strategic investments of its limited resources through its programs, as well as the appropriateness of the resources available to address the priority need categories.

One-Year Affordable Housing Goals & Objectives

Goals by Activity Type - §91.320(g)		
Activity Type	Anticipated Households Assisted*	Anticipated Funding (\$000s)
Rental Assistance	8,755	2,006,616,
Production of New Units		
Rehabilitation of Existing Units		
Acquisition of Existing Units		
Other Activities Not Specified Above	21,712	1,576,220
Total	30,467	3,582,836

Goals by Special Needs - §91.320(g)		
Need Type	Anticipated Households Assisted*	Anticipated Funding (\$000s)
Homeless	30,017	3,467,515
Non-Homeless	450	115,321
Special Needs		
Total	30,467	3,582,836

Annual Objective by Priority - §91.320(c)(3) & §91.320(d)		
Priority	Anticipated Households Assisted*	Anticipated Funding (\$000s)
High Priority		
Homeless Families and Individuals	30,017	3,467,515
Non-homeless Persons with Special Needs**		
Households between 0-30% AMI	450	115,321
Medium Priority		
Households between 31-60% AMI		
Lower Priority		
Households between 61-80% AMI		
Total	30,467	3,582,836

**'Households' is defined as households, individuals or units assisted.*

***'Special Needs' is defined as persons with disabilities, low-income elderly persons, and persons with HIV/AIDS.*

Outcome Measures

Uniform performance measures have been developed by HUD. The Consolidated Plan Partners have chosen appropriate performance measures for their specific programs. The following are the performance measures for North Carolina HUD-funded programs by Agency, category, objective, and outcome.

Outcome -->	Availability/ Accessibility	Affordability	Sustainability
Objective -->	Suitable Living Environment		
	Decent Housing		
	Creating Economic Opportunities		

ESG objectives and outcomes are to ensure the provision of emergency response, housing stability, and system coordination activities.

1. Emergency Response activities include:
 - a. Street Outreach
 - b. Emergency Shelter (including Transitional Housing)
2. Housing Stability activities include prevention and rapid re-housing through:
 - a. Financial assistance
 - b. Services
 - c. Rent assistance
3. System Coordination Activities include:
 - a. Coordinated Intake
 - b. HMIS

Allocation Priorities

Funds will be made available through competitive and open funding cycles. Funds will be allocated statewide based on housing needs, as documented in the *North Carolina Housing Market Analysis & Needs Assessment* in the *2011-2015 Strategic Consolidated Plan*, as well as on the service-provision infrastructure in the State. See the “One-Year Affordable Housing Goals & Objectives” tables for the allocation priorities and proposed distribution of funds to address those needs.

Geographic Distribution

Each program has a unique method of geographic distribution. The funding for the Community Development Block Grant Program is directed to non-entitlement communities across the state. The program funding through the Emergency Solutions Grants Program is distributed statewide.

Homeless and Other Special Needs
(Citation: 24CFR91.320(e))

The state addresses the needs of the homeless and other special needs persons through administration and funding of numerous programs. The state addresses emergency shelter and transitional housing needs of homeless individuals and families to prevent them from becoming homeless with the Emergency Solutions Grants Program (ESG), the Supportive Housing Development Program (funded in part with HOME), and the Key Program (funded in part with HOME Match) through the provision of grants and loans to develop and operate emergency, transitional or supportive housing and permanent housing. ESG also helps to combat chronic homelessness through the provision of housing stabilization activities, which include prevention and rapid re-housing. The state addresses the needs of those who are not homeless through the Supportive Housing Development Program (SHDP), Key Program, and Housing Opportunities for Persons with AIDS Program (HOPWA), and the Transitions to Community Living Program (TCLP). SHDP helps partners develop permanent and transitional housing for persons with disabilities, Key provides operating subsidies for housing for persons with disabilities, and HOPWA provides tenant based rental assistance, short-term mortgage and utility assistance, housing information, supportive services and resource identification to persons with HIV/AIDS. TCLP provides rental assistance and services for persons who are disabled through severe and persistent mental illness and serious mental illness and who otherwise might be institutionalized.

Barriers to Affordable Housing

The Analysis of Impediments to Fair Housing Choice, completed in 2010, summarizes barriers to affordable housing and is available to the public. The Housing Coordination and Policy Council advises the Governor and General Assembly on barriers to affordable housing in North Carolina, and the Housing Partnership, the oversight board of the state's Housing Trust Fund, considers barriers to housing in the allocation of state resources. In carrying out their regular programs and funding cycles, the Consolidated Plan Partners continue to promote the removal of barriers to affordable housing. The Consolidated Plan partners will take efforts to address those impediments through individual agency efforts as well as a collaborative effort as partners.

One Year Goals to Address Impediments to Fair Housing Choice Partners for 2014

Below is a table describing each agency-specific activities that each agency will undertake to address the identified impediments in the 2011-2015 Analysis to impediments to Fair Housing Choice Study.

Impediment	Goal	Implementation	Agency Lead
#1	#1	Consolidated Plan Fair Housing Workshop	DCA
	#1b	Developing a FHIP	DCA
	#1c	Fair Housing Task Force	ESG/HOPWA
#2	#2	Outreach and Education to rental	NCHFA/DCA

		housing providers/landlords	
#3	#3	Constraints in lending markets	NCHFA
#4	#4	Land Use Practices	DCA
#2	#2	Offer Fair Housing Webinar	ESG
#2	#2	Disseminate via electronic mail information regarding fair housing and training opportunities	ESG

Anti-Poverty Strategies

Division of Aging and Adult Services

The Emergency Solutions Grants Program annually allocates approximately \$3.5 million to local units of government and non-profit agencies. These funds are provided for emergency response, housing stability, and system coordination – all of which are anti-poverty activities that benefit low income persons.

Public Housing Initiatives

The public housing authorities (PHA) are components in the statewide system for the delivery of affordable housing. Local housing authorities are established through the auspices of local government, subject to state enabling legislation. The State does not specifically have direct oversight for local PHA, however we may partner with these entities through a grantee or project sponsor relationship to complete local projects or activities.

Program Details

The Request for Applications (RFA) will be made available to the public after FY14 allocations are made available by the US Dept. of HUD. Applications will be submitted to the State by Continuum of Care or regional committees of the Balance of State Continuum of Care that wish to apply for funding. The State will only accept applications from Continuum of Care or regional committees of the Balance of State Continuum of Care and not from individual organizations.

Eligible recipients of ESG funds are nonprofits and local governments. Currently, public housing authorities and State licensed facilities, including substance abuse shelters/facilities are not eligible to be ESG recipients.

An eligible recipient must:

- Apply as part of a regional application that is submitted to the State by a Continuum of Care or a regional committee of the Balance of State Continuum of Care;

- Be able to document its process for verifying that clients served meet the HUD definitions of “homeless” or “at risk of homelessness”;
- Operate a program that includes eligible emergency response, housing stability and/or system coordination activities;
- Not be a State licensed facility. State licensed facilities are not eligible to be funded by the ESG grant;
- Have an established accounting system that provides for maintenance of ESG funds in accordance with OMB Circulars A-110 and A-122;
- Have at least one person on the board of directors that is homeless or formerly homeless;
- Have the ability to expend ESG funds awarded within 365 days or the period of the contract;
- Have eligible resources to match the ESG funding received on a dollar for dollar basis;

For emergency and transitional shelters only:

- Have been funded with FY112A ESG funds for emergency and transitional shelters **only**;

Program staff review applications after the due date and notify regions of funding decisions as soon as is feasible following the receipt of applications. In addition, all funding and definitions outlined in the RFA will be followed. Once funding decisions are made and the grant agreement between the NC Department of Health and Human Services and the US Department of Housing and Urban Development is in place, contracts can be executed.

Program Evaluation and Monitoring

All FY 2014 ESG contractors will be subject to on-going monitoring throughout the term of the grant. The primary methods of monitoring will include:

- Review of mid-year and end of year contractor performance reports
- Review of contractor monthly financial status reports
- Periodic on-site monitoring, including review of randomly selected case files; and
- On-going telephone contact with contract agency staff

PROGRAM REPORT - HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS (HOPWA) PROGRAM ^{(91.320 k(4))}

The HOPWA program is administered by the AIDS Care Program in the Division of Public Health, North Carolina Department of Health and Human Services. HOPWA funds housing and housing-related supportive services for low-income persons with HIV/AIDS and their families.

Sources of Funds

(Citation: 24CFR91.230(e))

HOPWA Housing Resources

In 2014 the state HOPWA program expects to receive \$2.3million in federal Housing Opportunities for Persons with AIDS (HOPWA) funds and proposes to assist individuals through subgrantee contracts totaling approximately \$2.3 million.

Matching Requirements

The table below lists the federal and/or state matching funds requirements for this program.

Program	Federal Matching Requirement	State Matching Requirement
HOPWA	There are neither federal nor state match requirements for this program.	

Monitoring

The AIDS Care Program is required by the Division of Public Health to monitor the programmatic and fiscal responsibilities of all Sponsors contracted to provide HOPWA services.

The AIDS Care Program will conduct ongoing review of Sponsor's HOPWA budgets, budget justification narratives, service delivery plans, experience in providing HOPWA service, etc.

The AIDS Care Program will review monthly detailed expenditure reports along with back up documentation submitted by the Sponsors. Additional performance monitoring includes review of quarterly programmatic reports submitted by each Network, and at minimum two site visits conducted to review fiscal, programmatic and client records. Performance monitoring is documented for each funded Network of Care in writing and maintained in an agency file.

Based on the contractual agreement, the scope of work for each Network of Care's contract outlines the performance monitoring measures for HOPWA services. This includes the following:

- Submission of monthly detailed expenditure reports with back-up documentation, and quarterly reports to the AIDS Care Program detailing qualitative and quantitative activities.
- Attendance at mandatory meetings sponsored by the AIDS Care Program.
- Submission of the Consolidated Annual Performance and Evaluation Report (CAPER) to include Demographic Charts. This information must be submitted to the HOPWA Administrator as part of the state's HOPWA Integrated Disbursement Information System (IDIS) reporting requirements.
- Preparation for on-site visits at minimum twice during each contract period.

Lead-Based Paint

HOPWA Project Sponsors are required to document that all clients receiving housing assistance are made aware of the danger of lead-based paint. Project Sponsors distribute a lead-based paint fact sheet to clients receiving HOPWA services.

Statement of Specific Objectives and Affordable Housing

(Citation: 24CFR91.320(c) and (g))

One-Year Specific Housing Goals and Objectives

The three tables shown below highlight how the HOPWA program will continue to have an impact on the needs of the state. The tables provide the anticipated households assisted and funding amounts (in thousands of dollars) allocated for each activity type; need type; and priority category.

One-Year Affordable Housing Goals & Objectives

Goals by Activity Type - §91.320(g)		
Activity Type	Anticipated Households Assisted*	Anticipated Funding (\$000s)
Rental Assistance	1,145	2,113
Production of New Units		
Rehabilitation of Existing Units		
Acquisition of Existing Units		
Other Activities Not Specified Above	665	94
Total	1,810	2,207

Goals by Special Needs - §91.320(g)		
Need Type	Anticipated Households Assisted*	Anticipated Funding (\$000s)
Homeless		
Non-Homeless		
Special Needs	1,810	2,207
Total	1,810	2,207

Annual Objective by Priority - §91.320(c)(3) & §91.320(d)		
Priority	Anticipated Households Assisted*	Anticipated Funding (\$000s)
High Priority		
Homeless Families and Individuals		
Non-homeless Persons with Special Needs**	1,810	2,207
Households between 0-30% AMI		
Medium Priority		
Households between 31-60% AMI		
Lower Priority		
Households between 61-80% AMI		
Total	1,810	2,207

**'Households' is defined as households, individuals or units assisted.*

***'Special Needs' is defined as persons with disabilities, low-income elderly persons, and persons with HIV/AIDS.*

Outcome Measures

The outcome and objective for the HOPWA Program is to provide decent, safe and affordable housing for persons living with HIV/AIDS and their families.

Allocation Priorities

See the “One-Year Affordable Housing Goals & Objectives” tables for the allocation priorities and proposed distribution of funds to address those needs.

Geographic Distribution

HOPWA Program funds are directed to non-entitlement communities across 88 counties in the state.

Homeless and Other Special Needs

(Citation: 24CFR91.320(e))

HOPWA addresses the needs of those who are not homeless through the provision of tenant based rental assistance, short-term mortgage and utility assistance, housing information, supportive services and resource identification to persons with HIV/AIDS.

Barriers to Affordable Housing

The Analysis of Impediments to Fair Housing Choice, completed in 2010, summarizes barriers to affordable housing and is available to the public. The Housing Coordination and Partnership Council advises the Governor and General Assembly on barriers to affordable housing in North Carolina, and the Housing Partnership, the oversight board of the state’s Housing Trust Fund, considers barriers to housing in the allocation of state resources. In carrying out their regular programs and funding cycles, the Consolidated Plan Partners continue to promote the removal of barriers to affordable housing. The Consolidated Plan partners will take efforts to address those impediments through individual agency efforts as well as a collaborative effort as partners.

One Year Goals to Address Impediments to Fair Housing Choice Partners for 2013

HOPWA will address the identified impediments in the 2011-2015 Analysis to impediments to Fair Housing Choice Study through participation in the Fair Housing Task Force.

Anti-Poverty Strategies

The HOPWA program in concurrence with the Consolidated Plan partners also believes that eradication of poverty means providing residents with tools to help themselves increase financial stability. By providing HOPWA funding to facilitate the assurance of stable housing for persons living with HIV/AIDS (PLWHA), impacted individuals will be more likely to access medical care, which in turn will result in enhanced ability to access resources that will reduce the likelihood of poverty.

Program Details

The HOPWA allocation is based upon the number of reported AIDS cases. Eligible HOPWA activities include:

- Short Term Rent, Mortgage and Utility Assistance
- Tenant Based Rental Assistance
- Operating Costs for facilities dedicated to housing persons living with HIV/AIDS
- Supportive Services
- Housing Information
- Resource Identification
- Administration

All HOPWA Sponsors are required to provide Tenant Based Rental Assistance and Short-Term Rent, Mortgage and Utility Assistance. The other eligible activities are optional, based on regional need and resources.

For 2014, HOPWA Sponsors will be funded based on awards made from the July 2013 HIV Patient Management Model Networks of Care Request for Application (RFA). Eligible activities to be funded are consistent with the 2010 needs assessments conducted by the regional Networks and the North Carolina 2013 Update to the 2012 HIV Care and Prevention Statewide Coordinated Statement of Need (SCSN)/Needs Assessment and Comprehensive Plan. The availability of resources to fund programs for each eligible activity was also considered. This funding represents the first year of a two-year funding cycle based on the 2013 competitive RFA process.

The following overarching expectations apply to all HOPWA funded applicants:

1. Funds should support both urban and rural areas within the 88 counties served by the state HOPWA Program.
2. HOPWA-funded programs should be client centered.
3. Networks of Care must develop protocols to ensure confidentiality.

4. Networks of Care must indicate their willingness to actively collaborate with community-based organizations, AIDS service organizations and other community organizations and agencies to establish a referral network.
5. Networks of Care must ensure that clients receiving HOPWA funds have access to a case manager.
6. Networks of Care must ensure that clients receiving HOPWA funds have a housing care plan.
7. Networks of Care must demonstrate their ability to meet HUD and local housing quality standards relating to habitability and access.
8. Networks of Care must have the financial and programmatic capabilities to manage a housing program per federal and state regulations, policy and guidance.
9. Networks of Care must serve clients at or below 80% of median family income level.

CERTIFICATIONS

Each Agency hereby submits signed certifications consistent with the certification requirements of HUD.

**APPLICATION FOR
FEDERAL ASSISTANCE**

OMB Approved No. 3076-0006

Version 7/03

1. TYPE OF SUBMISSION: Application		2. DATE SUBMITTED 11/12/2013	Applicant Identifier
<input type="checkbox"/> Construction	<input type="checkbox"/> Pre-application	3. DATE RECEIVED BY STATE	State Application Identifier
<input checked="" type="checkbox"/> Non-Construction	<input type="checkbox"/> Construction	4. DATE RECEIVED BY FEDERAL AGENCY	Federal Identifier
<input type="checkbox"/> Non-Construction	<input type="checkbox"/> Non-Construction		
5. APPLICANT INFORMATION			
Legal Name: North Carolina Housing Finance Agency		Organizational Unit: Department:	
Organizational DUNS:		Division:	
Address: Street: 3508 Bush Street		Name and telephone number of person to be contacted on matters involving this application (give area code)	
City: Raleigh		Prefix:	First Name: Patricia
County: Wake		Middle Name	
State: NC		Last Name Amend	
Zip Code 27609-7509		Suffix:	
Country:		Email: plamend@nchfa.com	
6. EMPLOYER IDENTIFICATION NUMBER (EIN): 56-1700536		Phone Number (give area code) 919-877-5717	Fax Number (give area code) 919-877-5656
8. TYPE OF APPLICATION: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) (See back of form for description of letters.) Other (specify) <input type="checkbox"/> <input type="checkbox"/>		7. TYPE OF APPLICANT: (See back of form for Application Types) State Government Other (specify)	
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: 14-239 TITLE (Name of Program): Labor Management Cooperation Program		9. NAME OF FEDERAL AGENCY: US Dept. of Housing and Urban Development	
12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): State of North Carolina		11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: State Administered HOME Program	
13. PROPOSED PROJECT Start Date: 1/1/2014 Ending Date: 12/31/2014		14. CONGRESSIONAL DISTRICTS OF: a. Applicant All b. Project	
15. ESTIMATED FUNDING:		16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?	
a. Federal	\$ 13,000,000.00	a. Yes. <input type="checkbox"/> THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON	
b. Applicant	\$.00	DATE:	
c. State	\$ 1,500,000.00	b. No. <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372	
d. Local	\$.00	<input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW	
e. Other	\$.00	17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?	
f. Program Income	\$ 6,000,000.00	<input type="checkbox"/> Yes If "Yes" attach an explanation. <input checked="" type="checkbox"/> No	
g. TOTAL	\$.00		
18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.			
a. Authorized Representative			
Prefix	First Name Elizabeth	Middle Name	
Last Name Rozakis		Suffix:	
b. Title Chief Financial Officer		c. Telephone Number (give area code) 919-877-5687	
d. Signature of Authorized Representative		e. Date Signed 11/12/2013	

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NOV 13 2013

NC DEPT. OF COMMERCE
COMMUNITY ASSISTANCE

Standard Form 424 (Rev.9-2003)
Prescribed by OMB Circular A-102

Specific HOME Certifications


The State certifies that:

Tenant Based Rental Assistance - If NCHFA intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the State's consolidated plan.

Eligible Activities and Costs -- NCHFA is using and will use HOME funds for eligible activities and costs, as described in 24 CFR 92.205 through 92.209, and that NCHFA is not using and will not use HOME funds for prohibited activities, as described in 24 CFR 92.214.

Appropriate Financial Assistance—Before committing HOME funds to a project, NCHFA or its recipients will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other federal assistance than is necessary to provide affordable housing.


Signature/Authorized Official

11-12-2013
Date

Director of Policy Planning & Technology
Title




Application for Federal Assistance SF-424		Version 02
<div> <div> *1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application </div> <div> *2. Type of Application <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision </div> <div> * If Revision, select appropriate letter(s) *Other (Specify) _____ </div> </div>		
<div> <div>3. Date Received:</div> <div>4. Applicant Identifier:</div> </div>		
<div> <div>5a. Federal Entity Identifier:</div> <div>5b. Federal Award Identifier:</div> </div>		
State Use Only:		
<div> <div>6. Date Received by State:</div> <div>7. State Application Identifier:</div> </div>		
8. APPLICANT INFORMATION:		
*a. Legal Name: NC DHHS, Division of Aging and Adult Services		
<div> <div>*b. Employer/Taxpayer Identification Number (EIN/TIN): 56-163462</div> <div>*c. Organizational DUNS: 809785363</div> </div>		
d. Address:		
<div> <div>*Street 1: 2101 Mail Service Center</div> <div>Street 2:</div> <div>*City: Raleigh</div> <div>County: Wake</div> <div>*State: North Carolina</div> <div>Province:</div> <div>*Country: USA</div> <div>*Zip / Postal Code: 27699-2101</div> </div>		
e. Organizational Unit:		
<div> <div>Department Name: Department of Health and Human Services</div> <div>Division Name: Division of Aging and Adult Services</div> </div>		
f. Name and contact information of person to be contacted on matters involving this application:		
<div> <div>Prefix: Mr.</div> <div>*First Name: Michael</div> <div>Middle Name: Andrew</div> <div>*Last Name: Leach</div> <div>Suffix:</div> <div>Title: Homeless Programs Coordinator</div> <div>Organizational Affiliation: DHHS, Division of Aging and Adult Services</div> <div>*Telephone Number: 919-855-4991</div> <div>Fax Number: 919-733-5993</div> <div>*Email: Michael.Leach@dhhs.nc.gov</div> </div>		

Application for Federal Assistance SF-424	Version 02
<p>*9. Type of Applicant 1: Select Applicant Type: A. State Government</p> <p>Type of Applicant 2: Select Applicant Type:</p> <p>Type of Applicant 3: Select Applicant Type:</p> <p>*Other (Specify)</p>	
<p>*10 Name of Federal Agency: US Department of Housing and Urban Development</p>	
<p>11. Catalog of Federal Domestic Assistance Number: 14.231</p> <p>CFDA Title: Emergency Solutions Grants</p>	
<p>*12 Funding Opportunity Number: _____</p> <p>*Title: _____</p>	
<p>13. Competition Identification Number: _____</p> <p>Title: _____</p>	
<p>14. Areas Affected by Project (Cities, Counties, States, etc.): State of North Carolina</p>	
<p>*15. Descriptive Title of Applicant's Project: State Administered Emergency Solutions Grants Program</p>	

NOV 05 2013

OMB Number: 4040-0004

Expiration Date: 01/31/2009

Application for Federal Assistance SF-424		NO DEPT. OF COMMERCE COMMUNITY ASSISTANCE	Version 02
16. Congressional Districts Of:			
*a. Applicant: NC-004		*b. Program/Project: All NC Districts	
17. Proposed Project:			
*a. Start Date: October 1, 2013		*b. End Date: September 30, 2014	
18. Estimated Funding (\$):			
*a. Federal	3,873,344		
*b. Applicant			
*c. State			
*d. Local			
*e. Other			
*f. Program Income			
*g. TOTAL	3,873,344		
*19. Is Application Subject to Review By State Under Executive Order 12372 Process?			
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on ____			
<input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review.			
<input checked="" type="checkbox"/> c. Program is not covered by E. O. 12372			
*20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)			
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U. S. Code, Title 218, Section 1001) <input checked="" type="checkbox"/> ** I AGREE ** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions			
Authorized Representative:			
Prefix: Dr.		*First Name: Aldona	
Middle Name: Z.			
*Last Name: Wos			
Suffix:			
*Title: Secretary, NC Department of Health and Human Services			
*Telephone Number: 919-855-4800		Fax Number: (919) 715-4645	
* Email: Aldona.Wos@dhhs.nc.gov			
*Signature of Authorized Representative: 			*Date Signed: 10-31-2013

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Standard Form 424 (Revised 10/2005)

Prescribed by OMB Circular A-102

STATE GRANTEE

EMERGENCY SOLUTIONS GRANTS PROGRAM

FY 2014 CERTIFICATIONS

NOV 05 2013
NC DEPT. OF COMMERCE
COMMUNITY ASSISTANCE

I, Aldona Z. Wos, Secretary, NC Department of Health and Human Services authorized to act on behalf of the state of North Carolina, certify that the state will ensure compliance by units of general local government and nonprofit organizations to which it distributes funds under the Emergency Solutions Grants Program with:

- (1) The requirements of 24 CFR 576.25(b)(2) concerning the submission by nonprofit organizations applying for funding of a certification of approval of the proposed project(s) from the unit of local government in which the proposed project is located.
- (2) The requirements of 24 CFR 576.53 concerning the continued use of buildings for which Emergency Solutions Grants funds are used for rehabilitation or conversion of buildings for use as emergency shelters for the homeless; or when funds are used solely for operating costs or essential services, concerning the population to be served.
- (3) The building standards requirement of 24 CFR 576.55.
- (4) The requirements of 24 CFR 576.56, concerning assurances on services and other assistance to the homeless.
- (5) The requirements of 24 CFR 576.57, other appropriate provisions of 24 CFR Part 576, and other applicable Federal law concerning nondiscrimination and equal opportunity.
- (6) The requirements of 24 CFR 576.59(b) concerning the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970.
- (7) The requirements of 24 CFR 576.59 concerning minimizing the displacement of persons as a result of a project assisted with these funds.
- (8) The requirements of 24 CFR 576.56(a) and 576.65(b) that grantees develop and implement procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the Emergency Solutions Grants Program and that the address or location of any family violence shelter project assisted with ESG funds will not be made public, except with written authorization of the person or persons responsible for the operation of the shelter.

- (9) The requirement of that recipients involve, to the maximum extent practicable, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, and in providing services for occupants of these facilities as provided by 24 CFR 576.56(b)(2).
- (10) The requirements of 24 CFR 576.21(a)(4) which provide that the funding of homeless prevention activities for families that have received eviction notices or notices of termination of utility services meet the following standards: (A) that the inability of the family to make the required payments must be the result of a sudden reduction in income; (B) that the assistance must be necessary to avoid eviction of the family or termination of the services to the family; (C) that there must be a reasonable prospect that the family will be able to resume payments within a reasonable period of time; and (D) that the assistance must not supplant funding for preexisting homeless prevention activities from any other source.
- (11) The new requirement of the McKinney-Vento Act, 42 USC 11301, to develop and implement to the maximum extent practicable, and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent such discharge from immediately resulting in homelessness for such persons. I further understand that State and local governments are primarily responsible for the care of these individuals, and that ESG funds are not to be used to assist such persons in place of state and local resources.
- (12) The Drug Free Workplace requirements of 24 CFR Part 24 concerning the Drug Free Workplace Act of 1988.
- (13) The state will comply with the provisions of, and regulations and procedures applicable under 24 CFR 576.57(e) with respect to the environmental review responsibilities under the National Environmental Policy Act of 1969 and related authorities as specified in 24 CFR Part 58 as applicable to activities of nonprofit organizations funded directly by the state. The state also agrees to assume the Department's responsibility and authority as set forth in 24 CFR 576.57(e) for acting on the environmental certifications and requests for the release of funds submitted to the state by local government recipients.
- (14) The state's requirement to provide matching funds required by 24 CFR 576.51 and 42 USC 11375, including a description of the sources and amounts of such supplemental funds, as provided by the State, units of general local government or nonprofit organizations.

- (15) HUD's standards for participation in a local Homeless Management Information System (HMIS) and the collection and reporting of client-level information.

I further certify that the submission of a complete and approved Consolidated Plan with its relevant certifications, which is treated as the application for an Emergency Solutions Grants, is Authorized under state law, and that the state possesses legal authority to fund the carrying out of grant activities by units of general local government and nonprofit organizations in accordance with applicable laws and regulations of the Department of Housing and Urban Development.

By:



Signature and Date

Aldona Z. Wos, M.D.

Typed Name of Signatory


Secretary, NC Department of Health and Human Services

Title

NOV 05 2013
NC DEPT. OF COMMERCE
COMMUNITY ASSISTANCE

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		
* 2. Type of Application: <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision		
* If Revision, select appropriate letter(s): _____ * Other (Specify) _____		
* 3. Date Received: _____		4. Applicant Identifier: _____
5a. Federal Entity Identifier: _____		* 5b. Federal Award Identifier: NCH14-F999
State Use Only:		
6. Date Received by State: _____		7. State Application Identifier: _____
8. APPLICANT INFORMATION:		
* a. Legal Name: NC Department of Health and Human Services		
* b. Employer/Taxpayer Identification Number (EIN/TIN): 561636462		* c. Organizational DUNS: 809785363
d. Address:		
* Street1: 2001 Mall Service Center		
Street2: _____		
* City: Raleigh		
County: _____		
* State: North Carolina		
Province: _____		
* Country: USA		
* Zip / Postal Code: 27699-2001		
e. Organizational Unit:		
Department Name: NC Department of Health and Human Services		Division Name: Division of Public Health
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix: _____		* First Name: John
Middle Name: _____		
* Last Name: Peebles		
Suffix: _____		
Title: _____		
Organizational Affiliation: NC Communicable Disease Branch		
* Telephone Number: (919) 715-6737		Fax Number: _____
* Email: john.peebles@dhhs.nc.gov		

Application for Federal Assistance SF-424	
9. Type of Applicant 1: Select Applicant Type: <div>A. State</div>	
Type of Applicant 2: Select Applicant Type: <div></div>	
Type of Applicant 3: Select Applicant Type: <div></div>	
* Other (specify): <div></div>	
* 10. Name of Federal Agency: <div>HUD</div>	
11. Catalog of Federal Domestic Assistance Number: <div>14241</div>	
CFDA Title: <div>State Administered Small Cities</div>	
* 12. Funding Opportunity Number: <div></div>	
* Title: <div></div>	
13. Competition Identification Number: <div></div>	
Title: <div></div>	
14. Areas Affected by Project (Cities, Counties, States, etc.): <div>State of North Carolina (except entitlement cities)</div>	
* 15. Descriptive Title of Applicant's Project: <div>State Administered HOPWA Program</div>	
Attach supporting documents as specified in agency instructions. <div></div>	

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant <input type="text" value="4th"/>	* b. Program/Project <input type="text" value="4th"/>
Attach an additional list of Program/Project Congressional Districts if needed. <input type="text"/>	
17. Proposed Project:	
* a. Start Date: <input type="text" value="06/01/2014"/>	* b. End Date: <input type="text" value="05/31/2015"/>
18. Estimated Funding (\$):	
* a. Federal	<input type="text" value="2,347,849.00"/>
* b. Applicant	<input type="text" value="0.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="0.00"/>
* f. Program Income	<input type="text" value="0.00"/>
* g. TOTAL	<input type="text" value="2,347,849.00"/>
* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?	
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/>	
<input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review.	
<input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.	
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation in attachment.)	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes", provide explanation and attach.	
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)	
<input checked="" type="checkbox"/> ** I AGREE	
** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
Authorized Representative:	
Prefix: <input type="text"/>	* First Name: <input type="text" value="Aldona"/>
Middle Name: <input type="text"/>	
* Last Name: <input type="text" value="Wos"/>	
Suffix: <input type="text" value="M.D."/>	
* Title: <input type="text" value="Secretary, NC DHHS"/>	
* Telephone Number: <input type="text" value="(919) 855-4800"/>	Fax Number: <input type="text"/>
* Email: <input type="text" value="floyd.jones@dhhs.nc.gov"/>	
* Signature of Authorized Representative: 	* Date Signed: <input type="text" value="10-10-2013"/>

HOPWA Certifications


The state HOPWA grantee certifies that:

Activities – Activities funded under the program will meet urgent needs that are not being met by available public and private sources.


Building – Any building or structure assisted under the program shall be operated for the purpose specified in the plan:

1. For at least 10 years in the case of any building or structure purchased, leased, rehabilitated, renovated, or converted with HOPWA assistance,
2. For at least 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

By:


Aldona Z. Wos, M.D., Secretary
NC Department of Health and Human Services

10-10-2013
Date

Application for Federal Assistance SF-424		Version 02
*1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		*2. Type of Application * If Revision, select appropriate letter(s) <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation *Other (Specify) _____ <input type="checkbox"/> Revision
3. Date Received: 11-15-2013		4. Applicant Identifier:
5a. Federal Entity Identifier:		*5b. Federal Award Identifier:
State Use Only:		
6. Date Received by State:		7. State Application Identifier:
8. APPLICANT INFORMATION:		
*a. Legal Name: North Carolina Department of Commerce		
*b. Employer/Taxpayer Identification Number (EIN/TIN): 56-1611847		*c. Organizational DUNS: 042634415
d. Address:		
*Street 1: <u>4313 Mail Service Center</u>		
Street 2: _____		
*City: <u>Raleigh</u>		
County: <u>Wake</u>		
*State: <u>North Carolina</u>		
Province: _____		
*Country: <u>USA</u>		
*Zip / Postal Code <u>27699-4313</u>		
e. Organizational Unit:		
Department Name: North Carolina Department of Commerce		Division Name: Division of Community Assistance
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix: <u>Mr.</u>		*First Name: <u>Richard</u>
Middle Name: _____		
*Last Name: <u>Self</u>		
Suffix: _____		
Title: <u>Director</u> 		
Organizational Affiliation: North Carolina Department of Commerce/Division of Community Assistance		
*Telephone Number: 919-571-4900		Fax Number: 919-571-4951
*Email: <u>rself@nccommerce.com</u>		

Application for Federal Assistance SF-424	Version 02
*9. Type of Applicant 1: Select Applicant Type: A.State Government Type of Applicant 2: Select Applicant Type: Type of Applicant 3: Select Applicant Type: *Other (Specify)	
*10 Name of Federal Agency: US Department of Housing and Urban Development	
11. Catalog of Federal Domestic Assistance Number: <u>14.228</u> CFDA Title: <u>State of North Carolina CDBG Program</u>	
*12 Funding Opportunity Number: *Title: 	
13. Competition Identification Number: Title: 	
14. Areas Affected by Project (Cities, Counties, States, etc.): State of North Carolina (excluding entitlement cities and counties)	
*15. Descriptive Title of Applicant's Project: State of North Carolina 2014 Annual Action Plan	

Application for Federal Assistance SF-424		Version 02
16. Congressional Districts Of:		
*a. Applicant: All NC Districts	*b. Program/Project: All NC Districts	
17. Proposed Project:		
*a. Start Date: 1-1-2014	*b. End Date: 12-31-2014	
18. Estimated Funding (\$):		
*a. Federal	\$43,700,000	
*b. Applicant		
*c. State		
*d. Local		
*e. Other		
*f. Program Income		
*g. TOTAL	\$43,700,000	
*19. Is Application Subject to Review By State Under Executive Order 12372 Process?		
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on _____		
<input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review.		
<input checked="" type="checkbox"/> c. Program is not covered by E. O. 12372		
*20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)		
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U. S. Code, Title 218, Section 1001)		
<input checked="" type="checkbox"/> ** I AGREE		
** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions		
Authorized Representative:		
Prefix: <u>Ms. Mr.</u>	*First Name: <u>Sharon Al:</u>	
Middle Name: <u>Allred John</u>		
*Last Name: <u>Decker Haamani</u>		
Suffix: _____		
*Title: <u>Secretary of Commerce General Counsel</u>		
*Telephone Number: <u>919-733-3449 715-5579</u>	Fax Number: _____	
*Email: <u>sharon.decker@nccommerce.com john.haamani.com</u>		
*Signature of Authorized Representative: <u>[Signature]</u>	*Date Signed: <u>11/14/2013</u>	

STATE CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the State certifies that:

Affirmatively Further Fair Housing -- The State will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the state, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Drug Free Workplace -- It will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about -
 - (a) The dangers of drug abuse in the workplace;
 - (b) The grantee's policy of maintaining a drug-free workplace;
 - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
 - (a) Abide by the terms of the statement; and
 - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted -
 - (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

Anti-Lobbying -- To the best of the State's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraphs 1 and 2 of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of State -- The submission of the consolidated plan is authorized under State law and the State possesses the legal authority to carry out the programs under the consolidated plan for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:

A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite of making or entering into this transaction imposed by section 1352, title 31, U. S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

B. Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violated the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. For grantees other than individuals, Alternate 1 applies. (This is the information to which jurisdictions certify).
4. For grantees who are individuals, Alternate 2 applies. (Not applicable jurisdictions.)
5. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
6. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
7. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph five).
8. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

4313 Mail Service Center
Raleigh, North Carolina 27699-4313

3508 Bush Street
Raleigh, North Carolina 27609

1902 Mail Service Center
Raleigh, North Carolina 27699

2013 Mail Service Center
Raleigh, North Carolina 27699

4318 Mail Service Center
Raleigh, North Carolina 27699

Check ☐ if there are workplaces on file that are not identified here.

The certification with regard to the drug-free workplace is required by 24 CFR part 24, subpart F.

9. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.


Signature/Authorized Official

11/14/2013
Date

General Counsel
Title

Specific CDBG Certifications

The State certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR §91.115 and each unit of general local government that receives assistance from the State is or will be following a detailed citizen participation plan that satisfies the requirements of 24 CFR §570.486.

Consultation with Local Governments -- It has or will comply with the following:

1. It has consulted with affected units of local government in the nonentitlement area of the State in determining the method of distribution of funding;
2. It engages in or will engage in planning for community development activities;
3. It provides or will provide technical assistance to units of local government in connection with community development programs; and
4. It will not refuse to distribute funds to any unit of general local government on the basis of the particular eligible activity selected by the unit of general local government to meet its community development needs, except that a State is not prevented from establishing priorities in distributing funding on the basis of the activities selected.

Local Needs Identification -- It will require each unit of general local government to be funded to identify its community development and housing needs, including the needs of low-income and moderate-income families, and the activities to be undertaken to meet these needs.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objectives of Title I of the Housing and Community Development Act of 1974, as amended. (See 24 CFR 570.2 and 24 CFR part 570)

Use of Funds -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
2. **Overall Benefit.** The aggregate use of CDBG funds including section 108 guaranteed loans during program year 2014, shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;

3. **Special Assessments.** The state will require units of general local government that receive CDBG funds to certify to the following:

It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It will require units of general local government that receive CDBG funds to certify that they have adopted and are enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Compliance with Laws -- It will comply with applicable laws.


Signature/Authorized Official

11/14/2013
Date

General Counsel
Title